

**Public Health Service, HHS**

**§ 55a.101**

collateral for an issue of tax-exempt securities.

[48 FR 42984, Sept. 21, 1983]

**§ 53.156 Fees for modification requests.**

(a) Fees will be charged for the processing of requests for parity, and for major and minor modifications of the terms of documents evidencing and securing direct and guaranteed loans. In accordance with the requirements of the User Charge Statute, 31 U.S.C. 9701(b), the Secretary determines the amount of the application fee that must be submitted with each type of modification.

(1) As used in this section, a *request for parity* allows new debt to share lien position (i.e. collateral) with an existing Hill-Burton loan.

(2) As used in this section, a *major modification* is any modification involving the release of \$100,000 or more of collateral; a corporate restructuring that involves a transfer of assets; master indenture requests; modifications to a sinking fund; defeasance requests and requests for additional secured indebtedness; and any, other modification that involves a comparably significant use of Department resources.

(3) As used in this section, a *minor modification* is any modification involving the release of less than \$100,000 of collateral; an easement; and any other modification that involves a comparable use of Department resources.

(b) A request for modification is to be accompanied by a certified check or money order in the amount of the appropriate fee, payable to the U.S. Treasury. The fees for modification requests submitted on or after October 28, 1986 are as follows:

- (1) \$1,500 for a minor modification,
- (2) \$4,500 for a major modification, and
- (3) \$5,500 for a request for parity.

(c) A submitter may withdraw its request for modification within 10 business days following its receipt and receive a refund of the fee.

(d) If the Secretary determines that a change in the amount of a fee is appropriate, the Department will issue a notice of proposed rulemaking in the

FEDERAL REGISTER to announce the proposed amount.

[51 FR 39376, Oct. 28, 1986]

**PART 55a—PROGRAM GRANTS FOR BLACK LUNG CLINICS**

**Subpart A—General Provisions**

Sec.

55a.101 Definitions.

55a.102 Who is eligible to apply for a Black Lung clinics grant?

55a.103 What criteria has HHS established for deciding which grant application to fund?

55a.104 What confidentiality requirements must be met?

55a.105 How must grantees carry out their projects?

55a.106 Provision for waiver by the Secretary.

55a.107 What other regulations apply?

**Subpart B—Grants to States**

55a.201 What is required for a State application?

**Subpart C—Grants to Entities Other Than States**

55a.301 What is required for an application from an entity other than a State?

AUTHORITY: Sec. 427(a), Federal Mine Safety and Health Act of 1977, 92 Stat. 100 (30 U.S.C. 937(a)).

SOURCE: 50 FR 7913, Feb. 27, 1985, unless otherwise noted.

**Subpart A—General Provisions**

**§ 55a.101 Definitions.**

*Act*, as used in this part, means the Federal Mine Safety and Health Act of 1977, as amended (30 U.S.C. 801 *et seq.*).

*Secretary* means the Secretary of Health and Human Services and any other officer or employee of the Department of Health and Human Services to whom the authority involved has been delegated.

*Miner* or *coal miner* means any individual who works or has worked in or around a coal mine or coal preparation facility in the extraction or preparation of coal. The term also includes an individual who works or has worked in coal mine construction or transportation in or around a coal mine, to the

**§ 55a.102**

extent that the individual was exposed to coal dust as a result of employment.

**§ 55a.102 Who is eligible to apply for a Black Lung clinics grant?**

Any State or public or private entity may apply for a grant under this part.

**§ 55a.103 What criteria has HHS established for deciding which grant application to fund?**

(a) The Secretary will give preference to a State, which meets the requirements of this part and applies for a grant under this part, over other applicants in that State.

(b) Within the limits of funds available for these purposes the Secretary may award grants to assist in the carrying out of those programs which will in the Secretary's judgment best promote the purposes of section 427(a) of the Act, taking into account;

(1) The number of miners to be served and their needs; and

(2) The quality and breadth of services to be provided.

**§ 55a.104 What confidentiality requirements must be met?**

All information as to personal facts and circumstances obtained by the grantee's staff about recipients of services shall be held confidential and shall not be disclosed without the individual's consent except as may be required by law or as may be necessary to provide service to the individual or to provide for audits with appropriate safeguards for confidentiality of patient records. Otherwise, information may be disclosed only in summary, statistical, or other form which does not identify particular individuals.

**§ 55a.105 How must grantees carry out their projects?**

Grantees must carry out their projects in accordance with their applications and the provisions of this part.

**§ 55a.106 Provision for waiver by the Secretary.**

The Secretary may, for good cause shown, waive provisions of these regulations.

**42 CFR Ch. I (10-1-00 Edition)**

**§ 55a.107 What other regulations apply?**

Other regulations which apply to the Black Lung Clinics Program include, but are not limited to, the following:

42 CFR part 50, subpart D—Public Health Service grant appeals procedure;

42 CFR part 50, subpart E—Maximum allowable cost for drugs;

45 CFR part 16—Procedures of the Departmental Grant Appeals Board;

45 CFR part 19—Limitations on payment or reimbursement for drugs;

45 CFR part 74—Administration of grants;

45 CFR part 75—Informal grant appeals procedures;

45 CFR part 80—Nondiscrimination under programs receiving Federal assistance through the Department of Health and Human Services effectuation of title VI of the Civil Rights Act of 1964;

45 CFR part 81—Practice and procedure for hearings under part 80;

45 CFR part 84—Nondiscrimination on the basis of handicap in programs and activities receiving or benefiting from Federal financial assistance; and

45 CFR part 91—Nondiscrimination on the basis of age in HHS programs or activities receiving Federal financial assistance.

**Subpart B—Grants to States**

**§ 55a.201 What is required for a State application?**

An approvable State application must contain assurances that the State will:

(a) Provide the following services for active and inactive miners in the State:

(1) Primary care;

(2) Patient and family education and counseling;

(3) Outreach;

(4) Patient care coordination, including individual patient care plans for all patients;

(5) Antismoking advice; and

(6) Other symptomatic treatments.

(b) Provide medical services in consultation with a physician with special training or experience in the diagnosis and treatment of respiratory diseases.

(c) Meet all criteria for approval and designation by the Department of Labor under 20 CFR part 725 to perform disability examination and provide treatment under the Act.

(d) Use grant funds under this part to supplement and not supplant existing services of the State.

(e) Provide the services described above for those miners previously served by a Black Lung Clinic in the State for which grant support expires during the funding period of the State's grant.

(f) Provide services described above regardless of a person's ability to pay.

(g) Audit its expenditures from amounts received under this part in accordance with the provisions of Attachment P, Audit Requirements, of Office of Management and Budget Circular A-102, Uniform Requirements for Assistance to State and Local Governments, as adopted for the Department of Health and Human Services by 45 CFR part 74.

(Approved by the Office of Management and Budget under control number 0915-0081)

[50 FR 7913, Feb. 27, 1985, as amended at 50 FR 53156, Dec. 30, 1985]

### Subpart C—Grants to Entities Other Than States

#### § 55a.301 What is required for an application from an entity other than a State?

An approvable application must contain the following:

(a) A plan for the provision of the services required by § 55a.201(a), consistent with the requirements of § 55a.201 (b) and (c). The plan must also contain at least the following elements:

(1) A description of the target population to whom services are to be provided, including a statement of the need for services;

(2) An assurance that charges shall be made for services rendered as follows:

(i) A schedule shall be maintained listing fees or payments for the provision of services, designed to cover reasonable costs of operations;

(ii) A schedule of discounts adjusted on the basis of a patient's ability to pay shall be maintained. The schedule of discounts must provide for a full discount to individuals and families with annual incomes at or below the poverty line established in accordance with sec-

tion 673(2) of the Community Services Block Grant Act (42 U.S.C. 9902(2)), (except that nominal fees for service may be requested, but not required, from individuals and families with annual incomes at or below the poverty line). No discounts shall be provided to individuals and families with annual incomes greater than twice the poverty line; and

(iii) Where third-party payors (including Government Agencies) are authorized or under a legal obligation to pay all or a portion of such charges, all services covered by that reimbursement plan will be billed and every reasonable effort will be made to obtain payment.

(b) An assurance that no person will be denied services because of inability to pay.

(c) An assurance that grant funds received under this part will be used to supplement and not supplant existing services of the grantee.

(Approved by the Office of Management and Budget under control number 0915-0081)

[50 FR 7913, Feb. 27, 1985, as amended at 50 FR 53156, Dec. 30, 1985]

## PART 56—GRANTS FOR MIGRANT HEALTH SERVICES

### Subpart A—General Provisions

Sec.

- 56.101 Applicability.
- 56.102 Definitions.
- 56.103 Eligibility.
- 56.104 Application.
- 56.105 Accord with health planning.
- 56.106 Amount of grant.
- 56.107 Priorities for grants.
- 56.108 Use of grant funds.
- 56.109 Grant payments.
- 56.110 Nondiscrimination.
- 56.111 Confidentiality.
- 56.112 Publications and copyright.
- 56.113 Grantee accountability.
- 56.114 Applicability of 45 CFR part 74.

### Subpart B—Grants for Planning and Developing Migrant Health Centers

- 56.201 Applicability.
- 56.202 Application.
- 56.203 Project elements.
- 56.204 Grant evaluation and award.