



OFAM Recipient Technical Assistance Call II

**Office of Federal Assistance Management (OFAM)
Health Resources and Services Administration (HRSA)**

Vision: Healthy Communities, Healthy People



Welcome

- Listen-only Mode
- HRSA Topics
- Questions and Answers



Agenda

- Reminders
- Prior Approval Requests
- Federal Financial Report (FFR)
- Q&A



Presentation Location

← → ↻ hrsa.gov/grants/manage-your-grant/training

HRSA
Health Resources & Services Administration

Advanced Search

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[Home](#) > [Grants](#) > [Manage Your Grant](#) > Training

Training

We provide multiple training options so you understand how to manage your HRSA grant.

i **Recent Webcasts and Presentations**

October Grantee Conference Call Recordings

October 2019 Conference Call
Toll-Free: 800-839-4838 | No Passcode
Download: [October 2019 Conference Call Slides](#) (PPT - 2.3 MB)

October 2019 Pacific Basin Conference Call
Toll-Free: 800-944-3294 | Toll: 402-220-4884 | No Passcode
Download: [October 2019 Pacific Basin Conference Call Slides](#) (PPT - 2.3 MB)

Contact Us

[Email us](#) (include your 10-digit grant number)

Announcements

[Get the latest grants' announcements](#)

Electronic Handbooks (EHBs)

[Log In](#)



Contact and Technical Assistance Information

- HRSA Contact Center number: (877) 464-4772
- Visit EHBs Help and Ticketing System
<http://www.hrsa.gov/about/contact/ehbhelp.aspx>
- Visit Payment Management Services (PMS):
877-614-5533 or <https://pms.psc.gov/>
- Visit Bureau of Primary Health Care:
1-877-974-2742 or
<http://www.hrsa.gov/about/contact/bphc.aspx>



Electronic Handbook Help and Knowledge Base

- See Additional Resources:
<http://www.hrsa.gov/grants/manage/index.html>
- Visit HRSA Grants TA webpage:
<http://www.hrsa.gov/grants/apply/>



The screenshot displays the HRSA Grants TA website interface. At the top, there is a table with the following data:

Report Type	Report Title	Date	Status
Grant Application	Fiscal Year 2017 Access Increases in Mental Health and Substance Abuse Services (AIM...)	07/26/2017	Award Determination
Progress Report	Substance Abuse Quarterly Progress Report	07/14/2017	Processed
Financial Report	Annual Federal Financial Report	04/28/2017	Processed
Progress Report	Substance Abuse Quarterly Progress Report	04/13/2017	Processed

Below the table, a navigation bar contains a "Help" button, which is circled in red. Underneath, a video player is embedded, showing a video titled "How to Access Your Performance Reports". The video player includes a play button, a progress bar at 3:08 / 6:28, and standard video controls. To the right of the video player, there is a "Resources" section with links for "What's New", "HRSA Contacts", "FAQs", and "View All Videos".



Updating and Managing EHBs Contact Information

- **Managing Profile Information:**

<https://help.hrsa.gov/display/public/EHBSKBFG/User+Profile+Management+FAQs>

- **See Interactive Videos:**

<https://help.hrsa.gov/display/public/EHBSKBFG/Interactive+Videos>



SAM.gov and Grants.gov

- [Update SAM.gov](#) and [update Grants.gov](#) registrations.
- SAM.gov and Grants.gov are free
- Expired registrations will not receive HRSA funding





Prior Approval Requests

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What is a Prior Approval Request?

Recipient change to an aspect of the approved application after the award is issued

- Requires submission in Electronic Handbooks (EHBs) Prior Approval (PA) Module
- Requires a 30-day response from HRSA

Recipients may not move forward until HRSA approval is received, via either Notice of Award (NoA) or EHB email notification



Common Prior Approval Request Types

- Change in Key Personnel
- Rebudgeting
- Change in Scope
- Carryover of Unobligated Balance
- Extension with /without Funds (No-cost Extension)
- Property Disposition
- Organizational Name Change or Address change
- Change of Recipient
- Relinquishment

**PRIOR
APPROVAL
REQUIRED**



Carryover Requests

Request to use unobligated balance in a subsequent budget period

- Recommended to be submitted no later than 30 days after the acceptance of the Federal Financial Report

Expanded Authority

- **Waives certain prior approval requirements for some actions**

- ✓ **Carryovers**

- Carry over up to 25% of the total award amount of the budget period from which you will transfer the unobligated funds into the consecutive budget period for allowable costs within the original scope of the project.

- ✓ **Extension without Funds or No Cost Extension (NCE)**

- A one-time, no-cost extension of the budget and project period end date up to 12 months.
- As allowed by the program



Carryover Requests: What to Include...

Recipients should provide the following in EHB:

- Identification of the funding to be carried forward
 - ✓ Explain how funds will be utilized in the current budget period
- **Explanation of why the unobligated balance (UoB) exists**
- **Indication of how the unobligated funds will be used**
- **Budget and budget justification narrative for the amount requested**
 - ✓ In whole dollars only



Changes in Organization

Key Personnel Changes

- Defined in the HHS Grants Policy statement “PD/PI, and other individuals who contribute to the programmatic development or execution of a project or program in a measurable way”
 - ✓ Must provide a resume or Curriculum Vitae (CV) so HRSA staff can verify the person is qualified for the position
 - ✓ Provide justification, reasoning, and effective date of the change
- Consider if the change will have any budget implications

**PRIOR
APPROVAL
REQUIRED**

Organizational Name Changes

- Submit under Other, then Name Change
- Make sure you update in SAM.gov too!

Change in Recipient

- Successor-in-Interest: Two organizations merge or one organization takes over another by assuming all assets and liabilities



Extension without Funds (No Cost Extension)

Request for more time to complete a project

- not to exceed 12 months
- Sole purpose cannot be to spend unobligated balance of funds

**NCE requested for the purpose of finishing the project
(2 CFR 200.308(g)(2))**

Other Prior Approval Requests

Property Disposition

- Approval to transfer or sell equipment or transfer, sell, or mortgage real property (See 2 CFR Parts 200.311-314)

Re-budgeting

- Significant Re-budgeting- cumulative changes over 25% of the originally-approved budget (Category re-budgeting)
- Purchase of real/tangible property, including equipment

Relinquishment

- Recipient decides it is unable or unwilling to carry out the approved project and has chosen to give up the award
 - ✓ Full award or part of an award

Scope of Work Change

- Any major change to the originally-approved scope of work





Federal Financial Report (FFR)

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Financial Reporting Migration to PMS Highlights

Financial reporting for HHS grant recipients consolidated through the Payment Management System (PMS)

- Effective October 2020

The EHBs no longer accepts FFRs

- FFRs read-only format once approved/processed

Recipients are notified when FFRs are available in PMS



Updating Permissions or Requesting Access

Visit new user access request

<https://pms.psc.gov/grant-recipients/access-newuser.html>

Update permissions access request

<https://pms.psc.gov/grant-recipients/access-changes.html>

It can take up to 3 days to process a User Access Request



Navigating to the FFR

Log into the Payment Management System (PMS) website (<https://pms.psc.gov/>)

From the Navigation Menu

- Select “Federal Financial Reporting,” then
- Select “Federal Financial Report”



FFR Search Page

- [Inquiry](#)
- [Payment](#)
- [Disbursement](#)
- [View PSC272 Reports](#)
- [FFR Cash Transaction Report](#)
- [FFR Interest Income](#)
- [Federal Financial Report](#)
- [FFR File Transfer](#)
- [My User Info](#)
- [Account Maintenance](#)

Federal Financial Report - Search

To search for a Financial Status Report for a specific PAN or Federal Grant ID, enter the specific value. Both fields can be searched for partial matches by using an asterisk (*). Select other optional fields to refine your search.

Reporting Period:
 Report Status:

Delinquent Reports:

Search Results (11) Group Actions

Payee	Federal Grant ID	Agency ID	Frequency	Report End Date	Report Due Date	Report Submit Date	Report Status	Delinquent	Actions
<input type="checkbox"/>	0000P	16ABCDEF GH	FHHAG99	Annual	2016-09-30	2016-12-29	2017-01-19	Report Available to be Complete	<input type="checkbox"/> <input type="checkbox"/> <input type="button" value="Review"/>
<input type="checkbox"/>	0000P	16ABCDEF GH	FHHAG99	Final	2017-09-30	2017-12-29	2016-09-26	Regional Awarding Agency Approval	<input type="checkbox"/> <input type="checkbox"/>
<input type="checkbox"/>	0000P	16ABCDEF GH	FHHAG99	Annual	2016-09-30	2016-12-29	2017-01-19	HQ Awarding Agency Approval	<input type="checkbox"/> <input type="checkbox"/>
<input type="checkbox"/>	0000P	16ABCDEF GH	FHHAG99	Final	2017-09-30	2017-12-29	2016-09-26	Regional Awarding Agency Approval	<input type="checkbox"/> <input type="checkbox"/>
<input type="checkbox"/>	0000P	16ABCDEF GH	FHHAG99	Annual	2017-09-30	2017-12-29	2017-12-13	Regional Awarding Agency Approval	<input type="checkbox"/> <input type="checkbox"/>
<input type="checkbox"/>	0000P	16ABCDEF GH	FHHAG99	Final	2018-09-30	2019-01-30	2018-12-14	Regional Awarding Agency Review Rejection	<input type="checkbox"/> <input type="checkbox"/>
<input type="checkbox"/>	0000P	16ABCDEF GH	FHHAG99	Annual	2017-09-30	2017-12-29	2017-12-13	Regional Awarding Agency Approval	<input type="checkbox"/> <input type="checkbox"/>
<input type="checkbox"/>	0000P	16ABCDEF GH	FHHAG99	Final	2018-09-30	2019-01-30	2018-12-14	Regional Awarding Agency Approval	<input type="checkbox"/> <input type="checkbox"/>
<input type="checkbox"/>	0000P	16ABCDEF GH	FHHAG99	Semi-Annual	2018-03-31	2018-04-30	2018-04-06	HQ Awarding Agency Approval	<input type="checkbox"/> <input type="checkbox"/>
<input type="checkbox"/>	0000P	16ABCDEF GH	FHHAG99	Final	2018-09-29	2019-01-30	2018-12-14	Report Certified/Pending Agency Approval	<input type="checkbox"/> <input type="checkbox"/>



FFR Search Page (Continued)

Recipients will be able to search for FFRs based on different criteria (e.g., Payee Account; Document Number)

From the FFR search results under “Actions,” select “Review” to display the FFR

Note that the Federal Grant ID is the Document Number



Prepopulated FFR Fields: Editable vs Non-Editable

Federal Financial Report Line	Annual	Final
Federal Agency Name (1)	Non-Editable	Non-Editable
Federal Grant/Subaccount (2)	Non-Editable	Non-Editable
Recipient Organization Name/Address (3)	Non-Editable	Non-Editable
DUNS Number (4a) and EIN (4b)	Non-Editable	Non-Editable
Recipient Account Number (5)	Editable	Editable
Report Type (6)	Non-Editable	Non-Editable
Project/Grant Type (8)	Non-Editable	Non-Editable
Reporting Period End Date (9)	Non-Editable	Non-Editable
Cash Receipts (10a)	Editable	Non-Editable
Cash Disbursements (10b)	Editable	Non-Editable
Authorizations (10d)	Non-Editable	Non-Editable
Total Recipient Share Required (10i)	Editable	Editable



Discontinuation of Federal Cash Transaction Report

Effective April 2022, Recipients will no longer complete lines 10a through 10c of the SF-425 Federal Financial Report (FFR) within PMS.

- **New functionality will prepopulate information thus reducing recipient burden and redundancy of reported information**
 - ✓ 10a (cash receipts) and 10b (cash disbursements) will be populated based on funds drawn

FFR reporting requirements/due dates may be impacted by this change.



FFR Remarks

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

*Prepared by:

Phone No.:

+1 (888) 777-6666

Email Address:

email.address@mail.com

Date Report Prepared:

02/28/2019



FFR Remarks (Continued)

The FFR Remarks should be used to provide further details and explanations regarding the report

- If a change is necessary to prior year expenditures, recipients must specify the:
 - ✓ Value of change
 - ✓ Budget Period being changed
 - ✓ Reason for the change

FFR Remarks should also be used to explain excess cash on hand; expanded authority being used; and any other information the organization needs to communicate



Requesting a Carryover

Intent to carryover funds (including Expanded Authority) should be stated in the FFR Remarks

Prior Approval requests to carryover unobligated balances will still be submitted to HRSA through the EHBs

Prior approval requests should be submitted within 30 days of submitting the FFR



Final FFR Special Considerations

Based on live data within PMS

- Not editable

Cash Receipts (10a) = Cash Disbursements (10b) = Expenditures (10e) on Final FFRs

- If do not reconcile will not be able to submit

No unliquidated obligations (10f) on Final FFRs

- Request an extension of the FFR due date to liquidate funds



Requesting a Due Date Extension

Internal HRSA process requiring GMS approval

Recipient must provide

- Grant Document Number
- New due date



Revising Federal Financial Reports (FFRs)

FFR cannot be edited during agency review

Revising approved FFRs (detailed explanation required)

- Update cumulative expenditures on current FFR available
- Remarks must specify the: Value being changed; Budget Period being changed; and Reason for the change

Recipients have 15 months after PPED to submit revision



Recipient Resource: Who to Contact

PMS:

Help Desk Self Service Portal

- FFR Access issues
- FFR Submission issues
- Locating the FFR in PMS
- Calculated Field Issues
- 10a/10b (prepopulated)

GMS:

Listed on the Notice of Award

- Header Information Issues
 - ✓ e.g. org. name, EIN, report type, etc.
- Lines 10d – 10o Issues
 - ✓ e.g. Authorizations, etc.
- FFR Data Entry Questions
 - ✓ e.g. recipient share, indirect expenses, expenditures, etc.



Questions and Answers

- Please have your grant number ready
- If we cannot answer your question, we will take your contact information and get back to you



Survey



Topic Ideas

- Do you have a topic you would like to see presented during an upcoming TA call?
- Email us your ideas: HRSAPostAwards@hrsa.gov



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