



**HRSA**  
**HealthyGrants**  
**WORKSHOP**

# Examining Expanded Authorities

## May 15-16, 2019

**Victoria Carper**  
**Office of the Director**  
**Division of Grants Management Operations**



# Agenda

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- **What are Expanded Authorities (EA)?**
- **What activities are included under EA?**
- **Where can I find out if my grant has EA?**
- **Knowledge Check**
- **Questions**
- **Contact Information**

# What are Expanded Authorities?

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## What do they do?

- Waive prior approval requirement for specified actions

## Who are they for?

- Generally available under research grants to entities that perform research
- HRSA has the discretion to afford expanded authority to other classes of recipients, except construction grants

## How do I know I have them?

- Automatically applied unless overridden by HRSA in the Notice of Award (NoA)

# What activities are included under EA?

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## What prior approval actions are included?

- Pre-award Costs
- Carryover of Unobligated Balances
- No-cost Extension

# Pre-Award Costs

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## What are they?

- Costs incurred prior to the beginning of the project period

## When may I incur them?

- Up to 90 days before the beginning date of the initial budget period

# Carryover of Unobligated Balances

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## How Much?

- Up to 25% or \$250,000 (whichever is less)

## What do I need to do?

- Notify HRSA

## What if I need to request more than 25%?

- Prior approval required

## What can I use it for?

- Pre-approved activities

# Carryover of unobligated balance

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## How do I notify HRSA that I wish to carryover my UOB?

- Select EA option when you submit your FFR
- The options include:
  - Yes, for the amount covered under expanded authority
  - Yes, for the amount covered, and part of or entire marginal amount over expanded authority.
  - No
  - Not Applicable

# No Cost Extension (NCE)

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## When do I use this?

- Final budget period

## How long may I extend?

- Up to one year (12 months)

## Any additional considerations?

- Additional time required to ensure adequate completion
- A competing continuation application is under review
- Necessary to permit an orderly phase-out of a project

# No Cost Extension (NCE) Cont.

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## How do I request a no cost extension under EA?

- Submit a prior approval for extension in the EHBs
- An extension is only for previously approved activities

**But I have Expanded Authority,  
so why do I submit a Prior Approval?**

## When do I submit it?

- No later than 10 days prior to the project period end date

# Where can I find out if my grant has EA?

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## How do I know?

There are two distinct indicators on the NOA:

- Remarks section
- Terms and Conditions

## When will I receive a revised NOA under EA?

- Carryovers - no
- No Cost Extensions - yes

# Expanded Authority Term on the NoA

This award is governed by the post-award requirements cited in Subpart D-Post Federal Award Requirements, standards for program and fiscal management of 45 CFR Part 75 except when the Notice of Award indicates in the “Remarks” section that the grant is included under “Expanded Authority”. These recipients may take the following actions without prior approval of the Grant Management Officer:

Section 75.308 c(2)(d)(1) Incur pre-award costs up to 90 calendar days before the award. See also 75.458.

Section 75.308 c(2)(d)(2) Initiate a one-time extension of the period of performance by up to 12 months unless one or more of the conditions outlined in paragraphs (d)(2)(i) through (iii) of this section apply. For one-time extensions, the recipient must notify the HHS awarding agency in writing with the supporting reasons and revised period of performance at least 10 calendar days before the end of the period of performance specified in the Federal award. This notification must be submitted through the Electronic Handbooks (EHB). This one-time extension may not be exercised merely for the purpose of using unobligated balances.

Section 75.308 c(2)(d)(3) Carry forward unobligated balances to subsequent periods of performance. Except for funds restricted on a Notice of Award, grantee organizations are authorized to carry over unobligated grant funds up to the lesser of 25% or \$250,000 of the amount awarded for that budget period remaining at the end of that budget period. If the unobligated balance is in excess of 25% of the total amount awarded, or \$250,000, whichever is less, and the grantee wishes to carry the funds forward, the grantee must obtain prior approval from the Grants Management Officer. The grantee must notify the GMO when it has elected to carry over unobligated balances under Expanded Authority and the amount to be carried over. The notification must be provided under item 12, “Remarks”, on the initial submission of the Federal Financial Report (FFR).

For all other Post Award request refer Standard Term 5 below.

# Summary of Expanded Authorities

May exercise as expanded authority	Except
Carryover of unobligated balances from one budget period to the next successive budget period	If the NoA indicates otherwise, or if the UOB exceeds 25% or \$250,000
Extension of a final budget period of performance without additional funds	If the NoA indicates otherwise, or the non-federal entity already has given itself one extension of up to 12 months beyond the original expiration date
Pre-award costs up to (and including) 90 days before the beginning date of the initial budget period of a new or competing	If the NoA indicates otherwise, or if the activities would otherwise require a prior approval

# Knowledge Check

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**Now..**

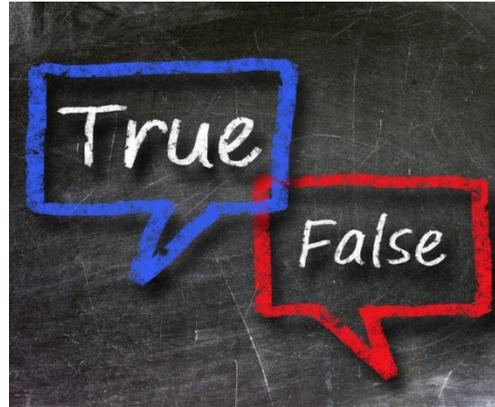
**Let's see what you've learned!**

# True/False



The recipient is required to submit a carryover prior approval request for any unobligated balance?

# True/False (Cont.)



Recipients under expanded authority are required to notify HRSA when they intend to extend their final project end date by up to 12 months?

# Yes/No

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My grant is under Expanded Authority and I have unobligated funds remaining in tuition and fees. May I rebudget those funds to personnel costs as part of my carryover authority?

# True/False (Cont.)

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**TRUE**

**FALSE**

**Expanded Authority can be rescinded?**

# Questions?



# Contact Information

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**Victoria Carper, MPA**

**Office of the Director**

**Division of Grants Management Operations**

**Email: [vcarper@hrsa.gov](mailto:vcarper@hrsa.gov)**

**Phone: 301-443-5617**

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