

## Ventilated Patient Form Instructions

The purpose of the Ventilated Patient Form (VPF) is to collect demographic information and OPO process data on patients who:

- have a documented Pronouncement of Death,
- were ventilated during their terminal hospitalization, and
- were referred to the OPO by a hospital or identified by the OPO while onsite at the hospital, or found by the OPO upon death record review as required by 42 CFR 486.348(b).

### Definition

- **Required** data field: A field is **required** only if it actually appears in the cascade flow of control per specific responses to previous questions. Furthermore, partial Ventilated Patient Form data can be collected and saved without completion of **required** data fields; however the form cannot be transmitted to HRSA and/or the OPTN until all **required** fields are completed.

**Status:** This field is read-only and displays **Incomplete**. It will only change to **Complete** once the record is successfully validated.

### Hospital and OPO Data

**DonorNet Donor ID:** Enter the unique Donor ID and click Search. If this is a valid Donor ID, then many of the field values below can be copied from the Deceased Donor Registration (DDR) record to the same field in the VPF. In the VPF they will become read-only. All such "copied" fields are noted individually, below. If this is a patient record only (no DonorNet Donor ID), all fields need to be completed - nothing is copied.

**OPO Record ID:** If this is a patient with a Donor ID, the OPO Record ID from the donor record displays and is read-only. If this is a patient record only, enter the OPO Record ID. This is a **required** field.

**OPO:** If this is a patient with a Donor ID, the OPO from the donor record displays and is read-only. If this is a patient record only, select the OPO from the drop-down list. This is a **required** field.

**Patient Hospital:** If this is a patient with a Donor ID, the Hospital from the donor record ("Donor Hospital") displays and is read-only. If this is a patient record only, select the Hospital from the drop-down list. Verify the hospital name and the Medicare provider number of the hospital that originally referred the patient or the hospital from which the patient was identified at death record review. A list of Medicare provider numbers for your state can be obtained in the Donor Hospitals section of DonorNet. This is a **required** field.

### Demographic and Clinical Data

**Last Name:** If this is a patient with a Donor ID, the Last Name from the donor record displays and is read-only. If this is a patient record only, enter the patient's Last Name. This is a

**required** field.

**First Name:** If this is a patient with a Donor ID, the First Name from the donor record displays and is read-only. If this is a patient record only, enter the patient's First Name. This is a **required** field.

**Middle Initial:** If this is a patient with a Donor ID, the Middle Initial from the donor record displays and is read-only. If this is a patient record only, enter the patient's Middle Initial. This field is **not required**.

**Note:** If the donor identity is unknown, enter the hospital-generated alias.

**Home Zip Code:** If this is a patient with a Donor ID, the Home Zip Code from the donor record displays and is read-only. Enter the U.S. Postal Zip Code of the location where the patient lived before hospitalization. If Zip Code is unknown, select **Unknown**. Do not use the referring hospital zip code as a proxy when Zip Code is unknown. This is a **required** field.

**Ethnicity:** If this is a patient with a Donor ID, the Ethnicity from the donor record displays and is read-only. If this is a patient record only, select as appropriate to indicate the Ethnicity of the patient.

OMB defines ethnicity to be whether or not a person self-identifies as Hispanic or Latino. For this reason, ethnicity is broken out into two categories, (1) Hispanic or Latino or (2) Not Hispanic or Latino. Select one ethnicity category or select 'Ethnicity Not Reported' if a category was not self-identified by the person.

This is a **required** field.

**Hispanic or Latino** – A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.

**Not Hispanic or Latino**

**Ethnicity Not Reported** – Select if person did not self-identify an ethnicity category.

**Race:** If this is a patient with a Donor ID, the Race from the donor record displays and is read-only. If this is a patient record only, select as appropriate to indicate the Race of the patient.

An individual can select one or more race categories (1) White, (2) Black or African American, (3) Asian, (4) American Indian or Alaska Native, (5) Native Hawaiian or Other Pacific Islander, or Race Not Reported.

This is a **required** field.

Select one or more race sub-categories or origins. Select 'Other Origin' if origin is not listed. Select 'Origin Not Reported' if the origin was not self-identified by the person.

**White** – A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.

**European Descent**  
**Arab or Middle Eastern**  
**North African (non-Black)**

**Other Origin**  
**Origin Not Reported**

**Black or African American** – A person having origins in any of the Black racial groups of Africa.

**African American**  
**African (Continental)**  
**West Indian**  
**Haitian**  
**Other Origin**  
**Origin Not Reported**

**American Indian or Alaska Native** – A person having origins in any of the original peoples of North and South America (including Central America) and who maintains tribal affiliation or community attachment.

**American Indian**  
**Eskimo**  
**Aleutian**  
**Alaska Indian**  
**Other Origin**  
**Origin Not Reported**

**Asian** – A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

**Asian Indian/Indian Sub-Continent**  
**Chinese**  
**Filipino**  
**Japanese**  
**Korean**  
**Vietnamese**  
**Other Origin**  
**Origin Not Reported**

**Native Hawaiian or Other Pacific Islander** – A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.

**Native Hawaiian**  
**Guamanian or Chamorro**  
**Samoan**  
**Other Origin**  
**Origin Not Reported**

**Race Not Reported** – Select if person did not self-identify a race category or origin.

**Birth Sex:** If this is a patient with a Donor ID, “Gender” from the donor record displays and is read-only. If this is a patient record only, select as appropriate to indicate the Birth Sex of the patient. Report donor sex (**Male** or **Female**), based on biologic and physiologic traits at birth. If sex at birth is unknown, report sex at time of referral as reported by patient or documented in medical record. The intent of this data collection field is to capture physiologic characteristics that may have an impact on recipient size matching or graft outcome. This is a **required** field.

**Height:** If this is a patient with a Donor ID, Height from the donor record displays and is read-only. If this is a patient record only, enter the height of the patient in **ft** (feet) and **in** (inches) or **cm** (centimeters). If the patient’s height is unavailable, select the reason from the status (**ST**) drop-down list (**N/A, Not Done, Missing, Unknown**). This is a **required** field.

**Weight:** If this is a patient with a Donor ID, Weight from the donor record displays and is read-only. If this is a patient record only, enter the first measured weight of the patient after hospital admission in **lbs** (pounds) or **kg** (kilograms). This is a **required** field. If the patient’s weight is unavailable, select the reason from the status (**ST**) drop-down list (**N/A, Not Done, Missing, Unknown**).

**Age:** If this is a patient with a Donor ID, Age from the donor record displays and is read-only. If this is a patient record only, enter the date the donor was born using the standard 8-digit numeric format of MM/DD/YYYY or enter the donor’s age in **Years** or **Months**. This is a **required** field.

**Cause of Death:** If this is a patient with a Donor ID, Cause of Death from the donor record displays and is read-only. If this is a patient record only, select the patient’s cause of death from the drop-down list. This is a **required** field.

If the cause of death is not listed, select **Other Specify**, and enter the cause of death in the **Specify** field. This is a **required** field.

**Anoxia**  
**Cerebrovascular/Stroke**  
**Head Trauma**  
**CNS Tumor**  
**Other Specify**

**Mechanism of Death:** If this is a patient with a Donor ID, Mechanism of Death from the donor record displays and is read-only. If this is a patient record only, select the patient’s mechanism of death from the drop-down list. If the mechanism of death is not listed, select **None of the Above**. This is a **required** field.

**Drowning**

Seizure  
Drug Intoxication  
Asphyxiation  
Cardiovascular  
Electrical  
Gunshot Wound  
Stab  
Blunt Injury  
SIDS  
Intracranial Hemorrhage/Stroke  
Death from Natural Causes  
None of the Above

**Circumstances of Death:** If this is a patient with a Donor ID, Circumstance of Death from the donor record displays and is read-only. If this is a patient record only, select the patient's circumstances of death from the drop-down list. If the circumstance of death is not listed, select **None of the Above**. This is a **required** field.

MVA  
Suicide  
Homicide  
Child-Abuse  
Accident, Non-MVA  
Death from Natural Causes  
None of the Above

**Did patient legally document decision to be an organ donor?:** If this is a patient with a Donor ID, "Did patient legally document decision to be a donor?" from the donor record displays and is read-only. If this is a patient record only, if the patient record had legal documentation of intent to be a donor, select **Yes**. If not, select **No**. If unknown, select **Unknown**. This is a **required** field.

If the selection is **No** or **Unknown**, cascades to **Date and Time of Pronouncement of Death**.

If the selection is **Yes**, cascades to **First Person Authorization Restrictions**.

**First Person Authorization Restrictions:** For each patient record with legal documentation of intent to be a donor, select any restrictions. OPOs should reference any document the OPO would consider applicable under their state laws. This is a **required** field.

Kidney  
Pancreas  
Intestine  
Liver  
Heart  
Lung  
Tissue (select if there are restrictions on any tissue, including ocular)  
None

**Date and Time of Pronouncement of Death:** If this is a patient with a Donor ID, "Date and Time of Pronouncement of Death" from the donor record displays and is read-only. If this is a

patient record only, enter the date, using the standard 8-digit numeric format of MM/DD/YYYY, and military time of pronouncement of death of the donor. This is a **required** field.

**KDPI:** For each patient record, provide the last calculation for the Kidney Donor Profile Index (KDPI) if available. This field is **not required**.

## OPO Process Data

**Case Detail/How did the OPO learn of this patient?:** Select as appropriate to indicate how the OPO learned of this patient. This is a **required** field.

**Hospital referral:** A hospital referred the patient to the OPO or the OPO identified the patient while onsite at the hospital. This value initially displays.

**Death record review:** The OPO located the patient record upon review. Change to this value if applicable.

If the selection is **Hospital referral**, cascades to **Date and Time of first hospital referral for terminal admission.**

**Date of First Hospital Referral for Terminal Admission:** If this is a patient with a Donor ID, Referral Date from the donor record displays and is read-only. If this is a patient-record only, for each patient record referred by the hospital to the OPO, enter the date, using the standard 8-digit numeric format of MM/DD/YYYY. This is a **required** field.

**Time of First Hospital Referral for Terminal Admission:** For each patient record referred by the hospital to the OPO, enter the military time of referral. This is a **required** field. After this field, cascade to **Did the OPO respond onsite at the hospital to the patient referral?**

Else if the selection is **Death record review**, cascades to **Date of death record review.**

**Date of death record review** : For each patient record, enter the date of death record review, using the standard 8-digit numeric format of MM/DD/YYYY. This is a **required** field. After this field, cascade to **Case Disposition (Terminal Step)**.

**Did the OPO respond onsite at the hospital to the patient referral?:** For each patient record, indicate whether the OPO responded onsite at the hospital to the patient referral by selecting **Yes** or **No**. This is a **required** field.

If the selection is **No**, cascades to **Remote EMR Access.**

If the selection is **Yes**, cascades to **Date and Time of first OPO Onsite Response following referral.**

**Date and Time of first OPO Onsite Response following referral:** For each patient record, enter the date, using standard 8-digit numeric format of MM/DD/YYYY, and the military time of OPO onsite response. This is a required field.

**Remote EMR Access:** For each referred patient, indicate whether the OPO had patient-specific electronic access to the referred patient's hospital Electronic Medical Record (EMR) , by selecting **Yes** or **No**. This is a **required** field.

**Patient Donation Pathway(s):** For each patient record, select the category or categories of organ procurement for which the OPO followed the patient at any point prior to case close. This is a **required** field.

**Donation after Circulatory Death (DCD)**  
**Donation after Brain Death (DBD)**  
**Both DCD and DBD**  
**Neither**

**Note:** If the patient was medically ruled out before being followed for procurement, select "Neither."

**Was the patient medically ruled out by the OPO prior to approach?:** For each patient record, indicate whether the OPO determined- based on its internal medical rule-out criteria- that the patient was not suitable for organ procurement by selecting **Yes** or **No**. This is a **required** field.

If the selection is **No**, cascades to **Method of Authorization Used by OPO.**

If the selection is **Yes**, cascades to **Case Disposition (Terminal Step).**

**Method of Authorization Used by OPO:** If this is a patient with a Donor ID, the selection from "Method of authorization used" from the donor record displays and is read-only. If this is a patient record only, select whether the OPO identified **First Person Authorization** or **Hierarchy** authorization for the purposes of procurement. This is a **required** field.

If the selection is **First Person Authorization**, cascades to **Was there a Legal Next of Kin (LNOK) objection to organ procurement with first person authorization?**

If the selection is **Hierarchy**, cascades to **Was there an approach for authorization for organ procurement?**

**Was there a Legal Next of Kin (LNOK) objection to organ procurement with first person authorization?** For each patient record, select **Yes** or **No** if there was a LNOK objection to first person authorization. This is a **required** field. Note: Please select yes if there was an objection, even if the objection was later resolved.

**Was there an approach for authorization for organ procurement?** For each patient record, select **Yes** or **No** if there was an approach for authorization for organ procurement. This is a **required** field.

If the selection is **Yes**, cascades to **Date and Time of First OPO Hierarchy Approach for Authorization.**

If the selection is **No**, cascades to **Case Disposition (Terminal Step).**

**Date and Time of First OPO Hierarchy Approach for Authorization:** For each patient record, enter the date, using standard 8-digit numeric format of MM/DD/YYYY, and the military time of first approach for authorization. This is a **required** field.

**Modality of Approach:** For each patient record, select modality of first OPO hierarchy approach for authorization from the drop-down list. This is a **required** field.

In-person  
Phone  
Video  
Text (SMS)  
Unknown

**Language of Approach:** For each patient record with an approach, select language(s) of first OPO hierarchy approach for authorization. This is a **required** field.

English  
Spanish  
Language other than English or Spanish

**Interpreter Used in Approach:** For each patient record with an approach, select whether OPO utilized interpretation or translation from any of the options on the drop-down list. This is a **required** field.

OPO staff  
Patient family  
Hospital  
Third party service  
Medical Translation Application  
Other  
None

**Authorization:** For each patient record with an approach, select the outcome from the drop-down list. This is a **required** field.

LNOK Authorized  
LNOK Decline  
Hospital Authorized  
Hospital Declined

If the selection is **LNOK Authorized or Hospital Authorized**, cascades to **Date and Time of Authorization for Procurement**.

If the selection is **LNOK Decline or Hospital Declined**, cascades to **Case Disposition (Terminal Step)**.

**Date and Time of Authorization for Procurement:** For each patient record, enter the date, using standard 8-digit numeric format of MM/DD/YYYY, and the military time of authorization of procurement. This is a **required** field.

**Tissue Authorization:** For each patient record, indicate whether any tissue procurement was authorized by selecting **Yes**, **No**, or **Non-applicable** from the drop-down list. This is a **required** field.

## Terminal Step

**Case Disposition:** For each patient record, select the case disposition from the menu. Select **all that apply**. This is a **required** field.

Recovered Organ Donor

OPO Decline to Pursue Donation

First Person Authorization (FPA) Objection

Medical Rule Out

Procurement Denied by Medical Examiner/Coroner/Warden

Allocation Exhausted Prior to OR

Cardiac Arrest Prior to OR

Outside Expiration Time for DCD Recovery

Case Closed in OR Without Organs Recovered

**Hospital Interference:** For each patient record, select **Yes** or **No** if there is Hospital Interference. This is a **required** field.

If the selection is **Yes**, cascades to **Describe Hospital Interference.**

If the selection is **No**, cascades to **Date and Time Case Close.**

**Describe Hospital Interference:** For each patient record, indicate which hospital actions the OPO characterized as interference. This is a **required** field. Note: This field is intended to identify process improvement opportunities.

Ventilated Patient Not Referred to the OPO

Referral Made to OPO Outside of Timely Requirement

Unplanned Extubation After Referral Made to OPO

Hospital Blocked OPO Approach for Authorization

**Reportable Interference:** For each patient record where the OPO identifies hospital interference (according to its internal policies), indicate whether the OPO determined if the interference was reportable to the hospital, regardless of whether a donation ultimately occurred. Select **Yes** or **No** from the drop-down list. This is a **required** field. Note: OPOs should retain reporting and remediation documentation as follow-up may be required for quality improvement as per § 486.328.

**Date and Time Case Close:** For each patient record, enter the date, using standard 8-digit numeric format of MM/DD/YYYY, and the military time of OPO case close. This is a **required** field. This action completes the form. Note: Case close represents the point at which the OPO has completed all active management and evaluation activities for the case and no further clinical or authorization actions are expected, even if follow-up reporting or hospital quality documentation occurs later. Later error corrections will not change date and time of case close.

**Public Burden Statement:** The private, non-profit Organ Procurement and Transplantation Network (OPTN) collects this information in order to perform the following OPTN functions: to assess whether applicants meet OPTN Bylaw requirements for membership in the OPTN; and to monitor compliance of member organizations with OPTN Obligations. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this information collection is 0906-0112 and it is valid until 12/31/2028. This information collection is required to obtain or retain a benefit per 42 CFR §121.11(b)(2). All data collected will be subject to Privacy Act protection (Privacy Act System of Records #09-15-0055). Data collected by the private non-profit OPTN also are well protected by a number of the Contractor's security features. The Contractor's security system meets or exceeds the requirements as prescribed by OMB Circular A-130, Appendix III, Security of Federal Automated Information Systems, and the Departments Automated Information Systems Security Program Handbook. The public reporting burden for this collection of information is estimated to average 0.37 hours per response, including the time for reviewing instructions, searching existing data sources, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to HRSA Information Collection Clearance Officer, 5600 Fishers Lane, Room 13N82, Rockville, Maryland, 20857 or [paperwork@hrsa.gov](mailto:paperwork@hrsa.gov).