





Setting Up Your Organization for a Successful HRSA Grant Award

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Vision: Healthy Communities, Healthy People

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Today's Topics

- Key Sources
- HRSA's Improvement Efforts
- Roles and Responsibilities
- Reporting Requirements
- Financial Management
- Systems
- Resources & Training







Key Sources of Information

Authorizing Statute – A law to establish, continue, or modify federal programs. <u>https://uscode.house.gov/</u>

Appropriation – A law authorizing the payment of funds from the Treasury. <u>https://crsreports.congress.gov/AppropriationsStatusTable</u>

Program-specific regulations – Provide the

requirements for implementing a statutory program.

Federal-wide / HHS grant regulations

- 2 CFR Part 200 (OMB) <u>https://www.ecfr.gov/current/title-2/subtitle-A/chapter-II/part-200?toc=1</u>
- 45 CFR Part 75 (HHS)

https://www.ecfr.gov/current/title-45/subtitle-A/subchapter-A/part-75





Grant Regulations

2 CFR Part 200 – Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards

- Established in 2014. Combined various grant administrative regulations into one "super reg."
- Last updated in August 2020.

45 CFR Part 75 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards

- Codified 2 CFR Part 200 (the 2014 version) for HHS.
- HHS currently follows 45 CFR Part 75 (but it's complicated!).
- HHS will eventually implement the updated 2 CFR Part 200.





OMB Resources

Executive Orders

- Issued by the Office of the President and used to direct the Executive Branch of the U.S. Government.
- <u>https://www.whitehouse.gov/omb/information-for-agencies/memoranda/</u>
- Executive Order 13985 Advancing Racial Equity and Support for Underserved Communities Through the Federal Government.

Office of Management and Budget (OMB) Memos

- https://www.whitehouse.gov/omb/information-for-agencies/memoranda/
- OMB M-21-20: Promoting Public Trust in the Federal Government through Effective Implementation of the American Rescue Plan Act and Stewardship of the Taxpayer Resources.

Grants Policy Changes

• Federal grants policy changes usually take the following path: $OMB \rightarrow HHS \rightarrow HRSA \rightarrow Recipients$

Notice of Funding Opportunity (NOFO)

- Pre-application Technical Assistance Webinars
- Section IV: Application and Submission Information May help answer questions about funding restrictions
- Section VI Award Administration Information
 May help answer questions about reporting and administrative and
 national policy requirements





HRSA's Focus on Equity in Grants

Integrate Health Equity

- Use an equity lens to diversity and expand the types of organizations we fund to provide services to the populations we serve.
- Encourage partnerships with community and grassroots organizations
- Provide examples of health disparities the program seeks to address

Grants.gov Notice of Funding Opportunity (NOFO) Forecast

- Publish earlier in the year
- More frequent updates
- https://www.grants.gov/web/grants/search-grants.html





HRSA's Focus on Equity in Grants (NOFOs)

Improve NOFO Readability

- Follow standards in Section 508 of the Rehabilitation Act
- Avoid jargon!
- Shorter sentences using Active Voice

Streamline NOFOs

- Shorter NOFOs (20-30 pages)
- Less duplication in sections
- Shorter application page limits





Applicant Responsibilities

- Understand Code of Federal Regulations (CFR) and HHS Grant Policies
- Develop applicant policies compliant with Federal
- Review Notice of Funding Opportunity (NOFO) for HRSA program requirements
- Fully complete all required forms





Organizational Roles

Roles:

- Authorizing Official
- Project Director
- Business Official

Managing recipient information and EHB User Roles is a Critical Recipient Responsibility





Recipient Responsibilities

- Develop and implement policies compliant with Federal
- Ensure organizational policies are updated, employee training and knowledge of Federal and organizational policies, identify best practices
- Use available systems and resources (SAM.gov)
- Provide complete information and respond timely to HRSA requests for information
- Assign and monitor correct User Roles in EHB
- Read and understand Notice of Award
- Communicate with Grants Management Specialist/Program Officer

HRSA Responsibilities

- Implement and execute all CFR Requirements, HHS Policies and HRSA program requirements
- Provide technical assistance and resources
- Identify best practices
- Work with recipients to ensure performance
- Report non-compliance to authorities
- Render appropriate actions for non-compliance or nonperformance





Your Notice of Award (NoA)

Department of Health an Health Resources and Services Admin	d Human Services	e of Award
Recipient Information	Federal Award Information	
1. Recipient Name	11. Award Number	
2. Congressional District of Recipient 3. Payment System Mentifier (ID) 4. Employer Identification Number (EN)	12. Statutory Authority	
1 5. Data Universal Numbering System (DUNS) 6. Recipient's Unique Entity Identifier		
7. Project Director or Principal Investigator	14. Federal Assard Project Title	
	15. Assistance Listing Number	
B. Authorized Official	16. Assistance Listing Program Title	
Federal Agency Information	17. Award Action Type	
8. Awarding Agency Contact Information	18. Is the Award R&D?	
	Summary Federal Award Financial Information	
30. Program Official Contact Information	 Rudget Period Start Date 09/00/2020 - End Date 08/35/3023 Total Amount of Federal Funds Obligated by this Action 20a. Direct Cast Amount 20b. Indirect Cast Amount 	\$0.00
	21. Authorized Camyover	50.00
	22. Offset	\$0.00
	23. Total Amount of Federal Funds Obligated this budget period	\$300,000.00
	24. Total Appreved Cost Sharing or Matching, where applicable	\$0.00 \$300.000.00
	 Total Federal and Non-Federal Approved this Budget Period 26. Project Period Start Date 06/01/2020 - End Date 08/31/2024 	\$100,000.00
	27. Total Amount of the Federal Award including Approved Goel Sharing or Matching this Project Period	\$300,000.00
	28. Authorized Treatment of Program Income	
	29. Grants Management Officer – Signature	

Notice of Award Contacts

NoA Email Address(es): 🕜				
Name	Role	Email		

The Project Director listed in Item #7 on the Notice of Award, always receives an email version of every NoA.

The grant recipient has the ability to list multiple staff to receive email NoA:

- Authorizing Official
- Business Official
- Other Employees





Notice of Award – Standard Terms

Example: Standard Term # 14 **§75.113 Mandatory disclosures**

- Applicants and non-federal entities must disclose all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award.
- Failure to make required disclosures can result in any of the remedies described in 45 CFR § 75.371, including suspension or debarment (See 2 CFR parts 180 and 376 and 31 U.S.C. § 3321).You must include this mandatory disclosure requirement in all subawards and contracts under this award. Non-federal entities that have received a federal award must report certain civil, criminal, or administrative proceedings as set forth in 45 CFR part 75 Appendix XII to www.SAM.gov. Recipient integrity and performance matters.





Reporting Requirements

- Federal Funding and Transparency Act (FFATA)
- Federal Financial Report (FFR)
- Noncompeting Continuation (NCC) Progress Reports
- Property Reports
- Closeout Documents







Financial Management



Division of Financial Integrity (DFI)

Developing Effective Financial Management Practices

18 Management Control Areas

financial-management-requirements.pdf

Two examples of Management Control Areas: Accounting System and Consultants





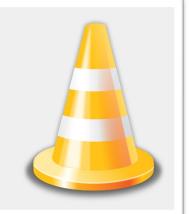
Systems for Success

- Federal
- Department
- Agency
- Track Updates
- Training Available



"The Road to Success is always under construction."

- Anonymous







Federal Systems

Update your <u>SAM.gov</u> and <u>Grants.gov</u> registrations

- Active registration is required to receive HRSA funding
- Registration in both systems is free

Everify.gov

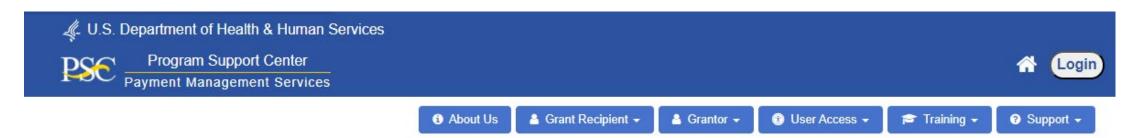
- Web-based system
- Employers electronically confirm the employment eligibility of employees
- Webinars provided







Payment Management System (PMS)



- <u>PMS</u> (https://pms.psc.gov) is a shared service provider and processes grant payments for the federal government.
- PMS provides awarding agencies and Grant recipients with cash management services, centralized payment services, grant accounting support, and Financial Reporting Support.
- PMS promotes financial integrity and operational efficiencies within the federal government through exceptional accounting practices.

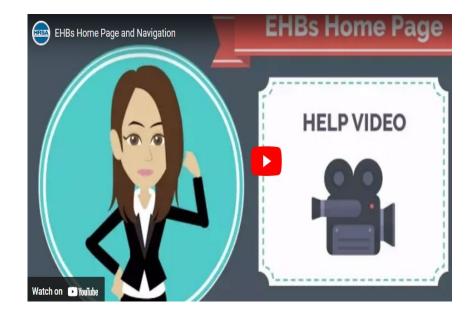
Resources, EHB Help and Knowledge Base

• Manage Your Grant:

http://www.hrsa.gov/grants/ma nage/index.html

• Interactive EHB Videos:

https://help.hrsa.gov/ display/public/EHBSKBFG/ Interactive+Videos







Updating and Managing EHB Users

Managing Profile Information:

https://help.hrsa.gov/display/public/EH BSKBFG/ User+Profile+Management+FAQs

Interactive Videos:

https://help.hrsa.gov/display/public/EH BSKBFG/ Interactive+Videos







New EHBs Login Process

By Thursday, May 25, 2023 – Establish Login.gov credentials

- Login.gov account must be created with the same email address used to log into the EHBs.
- If you already have a Login.gov account, you must ensure it is active and the same email address used to log into the EHBs.

May 26, 2023 -- Applicants/Grantees and Service Providers Login.gov and 2 Factor Authentication REQUIRED to login to EHBs.





New EHBs Login Resources

- January 27, 2023 Wiki Help page: <u>https://help.hrsa.gov/x/8gESCw</u>
- Emails sent to Applicants/Grantees/Service Providers
- Banner posted in EHBs Will be updated
- New EHBs login process goes live on May 26, 2023
 All Applicants/Grantees and Service Providers must have a Login.gov account
- Access Login.gov: <u>https://login.gov/</u>
- Login.gov Help Center: <u>https://login.gov/help/</u>





Upcoming EHB Changes for Non-Competing Continuation (NCC) Progress Reports

Background: Principals are not captured in a structured format within the Non-competing Continuation (NCC) progress reports

Goal: Reduce burden, increase efficiency, improve customer satisfaction

Enhancement: Include new key contact form to capture principal information in NCC progress reports

Release Date: Implementation Fall 2023 for Fiscal Year 24 (Oct 1, 2023) and future NCCs

Key Point: More information to follow







26

Resources and Technical Assistance Information

- HRSA Electronic Handbooks (EHB)(877) 464-4772
 8:00am 8:00pm EST
- EHBs Help and Ticketing System: <u>http://www.hrsa.gov/about/contact/ehbhelp.aspx</u>
- Grants Management Specialist or Program Officer listed on your Notice of Award (NOA)
- Bureau of Primary Health Care: 1-877-974-2742 or http://www.hrsa.gov/about/contact/bphc.aspx

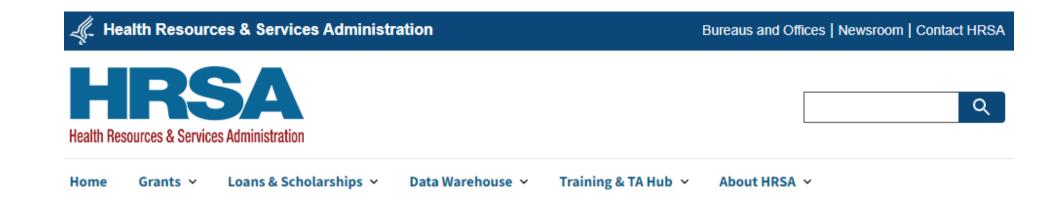




Training

للله Health Resourc	ces & Services Administration	Bureaus and Offices Nev	vsroom Contact HRSA
HIRSA Health Resources & Services Administration			Q
Home Grants v Loans &	Scholarships 🗸 Data Warehouse 🗸	Training & TA Hub 🗸 🛛 About HRSA	•
Home » Grants » Manage Your (
Manage your Grant	Training		
Training	Our training ontions hole you mon		
Administrative Management	Our training options help you man	age your HRSA grant.	
Financial Management	Watch the lates	t technical ass	istance
Reporting Requirements			
Policies, Regulations, & Guidance	webinar		
Become a Grant	April 2023 webinar		
Reviewer	Watch: April 2023 HRSA Recipient	<u>Fechnical Assistance Webinar</u> প্র	
Frequently Asked Questions	Download: <u>April 2023 HRSA Recipi</u> e	<u>ent Technical Assistance slides</u> (F	PDF - 583 KB)

HRSA Grants Training Webinars



Healthy Grants Workshop

Register Today Workshop Schedule Available First Session May; New sessions June, July, and August No Cost





HRSA Grants Training Webinars (Cont.)



Webinar Registration

https://www.hrsa.gov/grants/Healthy Grants Workshop

Register





How do you spell Success?

- Sources
- Understanding
- Compliance
- Communication
- Execution
- Stewardship
- Systems



If your question can not be answered during this session, please provide your question and email contact in the chat. We will follow-up and provide a response via email after the session.







Contacts

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